CrossGow

Coherent & Cross-compliant Ocean Governance for Delivering the EU Green Deal for European Seas

Deliverable 1.5

SPS methodological approach





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ABSTRACT	The document presents the infographic on the Science-Policy-Society (SPS) methodological approach adopted in CrossGov research and applied in WP2 and WP3 as a component of the coherence and cross-compliance (C&CC) analysis on EU Green Deal policies.
KEYWORDS	EU Green Deal; Science-Policy-Society Interfaces; Policy formulation; Policy implementation.





Introduction

Deliverable 1.5 presents the infographic on Science-Policy-Society (SPS) methodological approach, where the CrossGov methodology for assessing SPSI related to EU marine policies and Green Deal related strategies is presented alongside a selection of key results and examples from case studies, part of the application of the methodology in WP3.

This builds on the application of the methodology presented in Deliverable 1.4 - Guidance document for Science-Policy-Society Interfaces analysis in CrossGov research. Moreover, given that the methodology is and will still be applied, the infographic reports some preliminary outcomes of the analysis, while this will be fully presented in Deliverable 4.3 – Blueprint for SPS.

Content

The infographic is developed in three pages, of which the first one introduces the topic providing relevant definitions of Science-Policy-Society Interfaces and Science-Policy-Society System, the second one presents the methodology referring to Deliverable 1.4 and the third one displays some examples of the results from the analysis applying the methodology carried out by the following case studies in WP3: German North Sea, Oslo Fjord, Mediterranean Sea, French Mediterranean and Northern Adriatic Sea.

Specifically, after the introduction at page one, page two reports and displays in panels the four steps which compose the operational procedure for SPSI analysis as presented in Deliverable 1.4:

- Step 1 Defining the policy and geographical scope of the analysis and identifying data and information sources;
- Step 2 Defining the Science Policy Society system under analysis;
- Step 3 Characterizing the Building Blocks of SPSI to answer the research questions;
- Step 4 Synthesis: answering the research questions.

Finally, page three presents the five case studies, providing information on the policy, time scale and geographical scope of the analysis and the main data and information sources used in each of them (Step 1 of the methodology). Moreover, textual panels report some main outcomes of the analysis carried out through the Building Blocks part of Step 3, grouped in outputs, methods and inputs, following the classification of the methodology.

Note to the reader

Two versions of the infographic are available below.

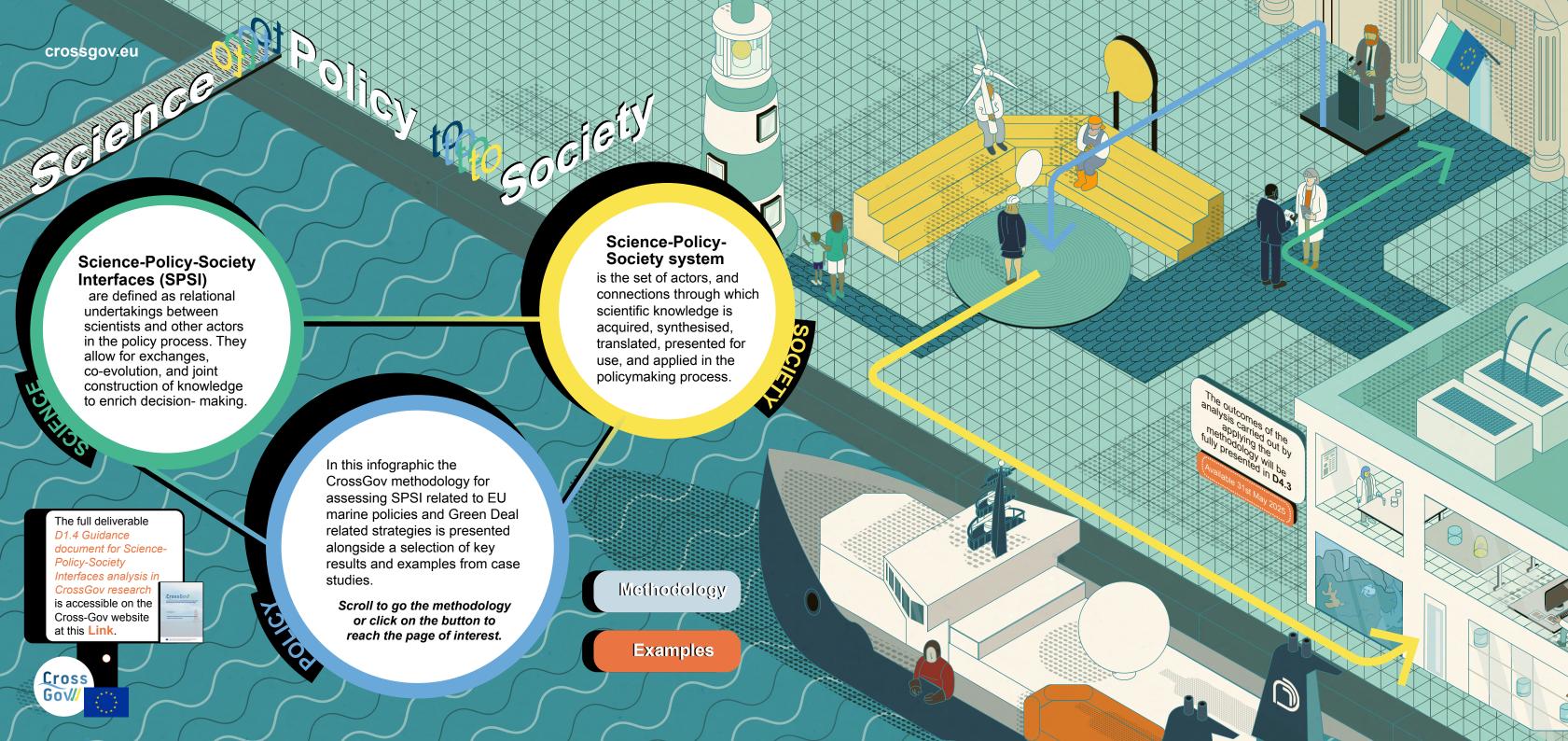
The first one is a downloadable pdf, while the second one is a more interactive version, to be possibly uploaded on the website and made accessible online. The main difference is at page three, where in the second version the reader can click on the symbols of each case study to open and read the panels related to the main outcomes of the analysis.

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Deliverable 1.5: .pdf version

SPS methodological approach





Define the scope of the analysis

The methodology can be applied in a flexible way to different context. For this, an inception phase is needed, to define the scope of the analysis and the following steps.

The geographical scope of the analysis

> The policies under analysis and which stages of the policy process need consideration

The time frame of the analysis

The research questions to be addressed

The most important sources



Define the analyzed Science Policy Society System

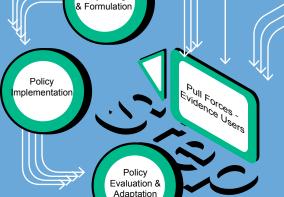
By considering the involved actors it is possible to define a system, highlighting who influences who and how.



Policy Design

(Understanding, Values and Demands, Advocacy)

> (Economic & Technological System)



Characterize the Building Blocks of SPSI to answer the research questions

OUTPUTS

Data & Knowledge

How data and knowledge are made and decision making process.

Assessments

How assessments assemble the best knowledge available in a form useful for

METHODS

Models and mechanisms

Models of scientific advice and knowledge transfer mechanisms and their effectiveness.

Permanent SPSI platforms

SPS and in implementing knowledge transfer mechanisms.

INPUTS

Competence framework

related capacity building activities influence the SPS process.

and resources affect the multiple dimensions of SPSI.

ATTRIBUTES

Availability and access to data: Gaps and uncertainty: Problem framing.

Credibility; Relevance and Legitimacy; Data and knowledge providers; Problem framing.

Type of model; Credibility;

Relevance and Legitimacy: Type of transfer mechanisms: Utilisation.

Type and role of platforms; Participants; Problem

How comptence frameworks and

Funding & resources

How funding, existing infrastructures

Type of competence; List of competences: Training & capacity building activities and targets.

Type of funding; Drivers for funding: Level and adequacy of funding and resources (including human resources).

Synthesis: answering the research questions



What type of science, knowledge, and interactions do we need for coherent and cross compliant formulation and implementation of policies?

What type of knowledge is currently provided and used in the implementation stages?



Which role does science play in decision-making. policy-mapping and planning?

How is knowledge contributing to horizontal and vertical coherence and cross-compliance of policies?

NEEDS: the science we need

PRACTICES: the science we use

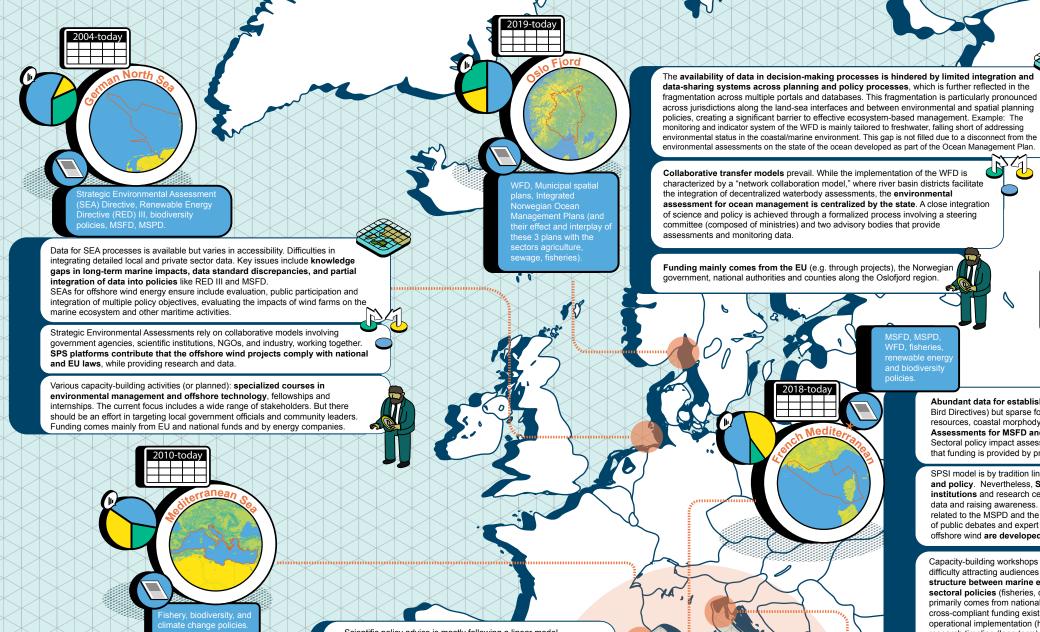
IMPACTS: the impacts of science







The methodology is still beina applied. It will be updated thanks to the listed testers and further case studies that can be accessed on the project's website.



Climate change data are currently not collected in a systematic manner or regulated under a Mediterranean-wide policy framework, although aggregate assessments exist. Fisheries data is generally more influential in different policy frameworks, both the EU-ones (like Marine Strategy Framework Directive and Common Fisheries Policy) and the Mediterranean ones (like Integrated Monitoring and Assessment Programme). Nevertheless, a large number of fish stocks remains unassessed. Biodiversity data availability and quality vary among Mediterranean countries. While governance systems struggle to improve data sharing (due to limited funding and enforcement), but broadly there is a lack of action following from the available data.

Scientific policy advice is mostly following a linear model. Knowledge integration into Med policies is institutionalized through the Barcelona Convention and General Fisheries Commission for the Mediterranean and Black Seas working groups, while public involvement is limited to specific projects. The transfer mechanisms established from delegated experts and national focal points are seen as providing legitimacy to decisions taken at Mediterranean level, as contracting parties of both Barcelona Convention and GFCM need to be involved. Challenges include funding issues, insufficient engagement and consultation, lack of political will, and non-compliance.

EU funding is the primary source for Med projects, with additional support from national funds, NGOs, and the private sector. Funding disparities create imbalances, favoring socio-economic sectors like fisheries over environmental ones Research priorities often align with available funding.

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Data fragmentation and broad access issues in policy implementation. Continuous data sharing systems may be lacking or delayed. A number of gaps in knowledge areas are present, such as nature-based solutions at the land-sea interface. Resources limitations (i.e. manpower and skills) in policy assessments. Good examples and successful collaborations are present, e.g. initial MSP assessments. MSPD policy not yet integrated into other policy assessments.

Collaborative transfer models prevail on linear models, but continuity in the knowledge transfer process is still a challenge. Knowledge production integrated into policy-making. Researchers act as knowledge brokers in co-design and co-management processes. Societal involvement is limited to consultation. Permanent SPSIs platforms: different focus and levels (sub-national, national and international). Primarily include administrations, agencies, and research institutions. SPSIs provide technical and scientific support, knowledge transfer, promote engagement and dialogue.

effective collaboration. Workshops and training initiatives, do exist (e.g. EU projects).

programs.

Abundant data for established directives (WFD, MSPD, Habitat and

resources, coastal morphodynamics, and climate change impacts.

Assessments for MSFD and WFD are credible and legitimate

that funding is provided by private companies.

Bird Directives) but sparse for sectoral policies. Gaps in biodiversity, fish

Sectoral policy impact assessments sometimes lack transparency given

SPSI model is by tradition linear with a distinction between science

and policy. Nevertheless, SPSI platforms exist and involve public

institutions and research centres to support decision-making by sharing

data and raising awareness. Collaborative models were recently set up

related to the MSPD and the repartition of uses at sea, including stages

offshore wind are developed through a stronger collaboration model.

primarily comes from national schemes and project-led initiatives; some

research timeline (long term) and decision making process (short-term).

Capacity-building workshops and other activities related to biodiversity issues have difficulty attracting audiences from other fields. There is a very strong silo

sectoral policies (fisheries, offshore wind farms) with little collaboration. Funding

cross-compliant funding exists (e.g. WFD/MSFD). There is a lack of funding for

operational implementation (human resources) and a mismatch between scientific

structure between marine environment policies (WFD, MSFD, MSPD) and

of public debates and expert consultations. Sectoral policies such as

Specialized, sector-specific knowledge can hinder Continuity in funding is crucial to ensure stable and adequate human resources, rather than temporary

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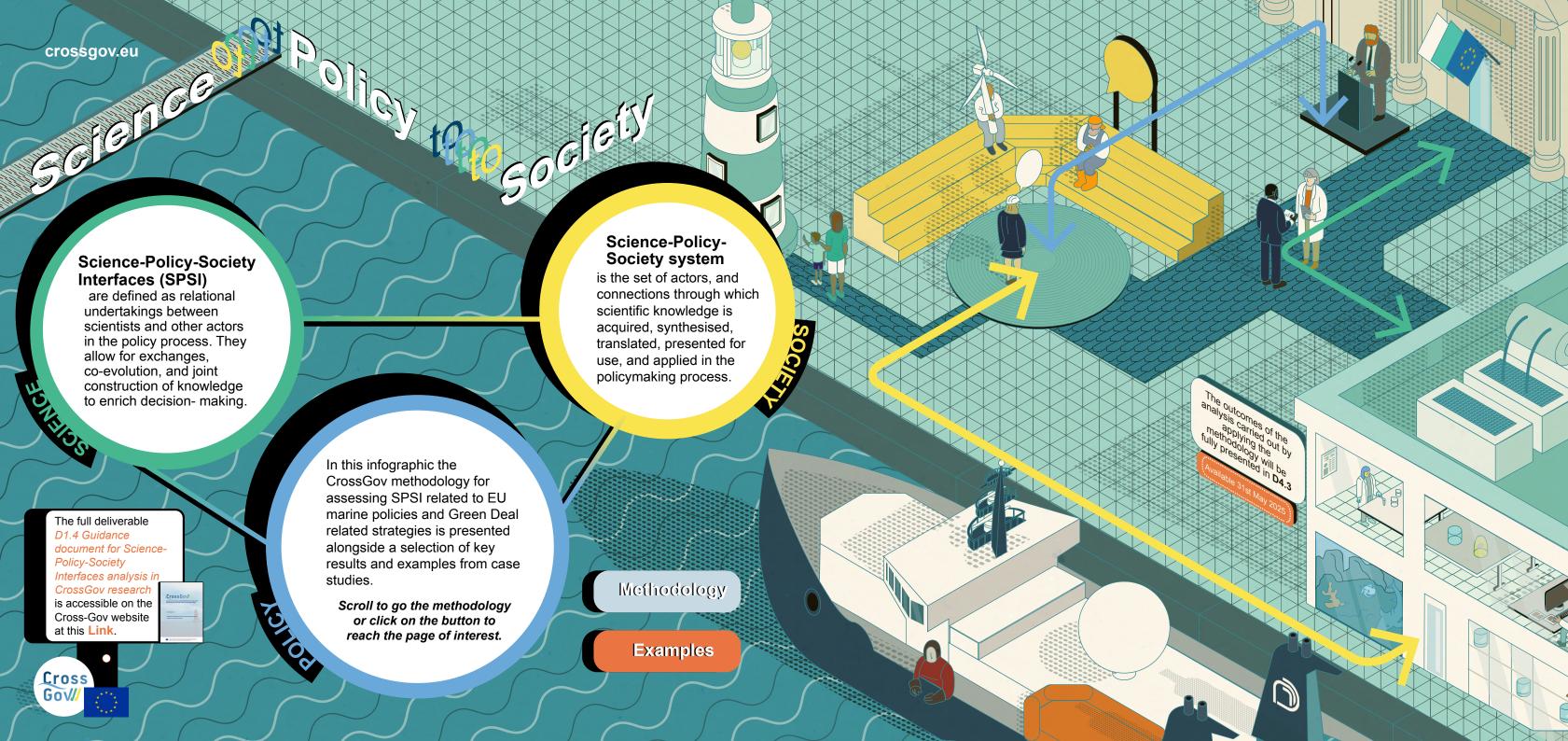


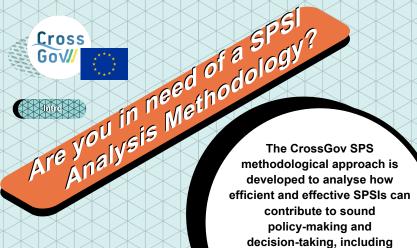
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Deliverable 1.5: online version

SPS methodological approach





Click on the step's name to uncover it.

START

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coherence and cross-compliance

of selected and Green

Deal-related marine policies.

The geographical scope of the analysis

The policies under analysis and which stages of the policy process need consideration

The time frame of the analysis

The research questions to be addressed

The most important sources



Define the analyzed Science Policy Society System

By considering the involved actors it is possible to define a system, highlighting who influences who and how.





(Understanding, Values and Demands, Advocacy)

> (Economic & Technological System)







Evaluation & Adaptation

Characterize the Building Blocks of SPSI to answer the research questions

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How comptence frameworks and related capacity building activities influence the SPS process.

Funding & resources

Type of model; Credibility; Relevance and Legitimacy: Type of transfer mechanisms: Utilisation.

Type and role of platforms; Participants; Problem

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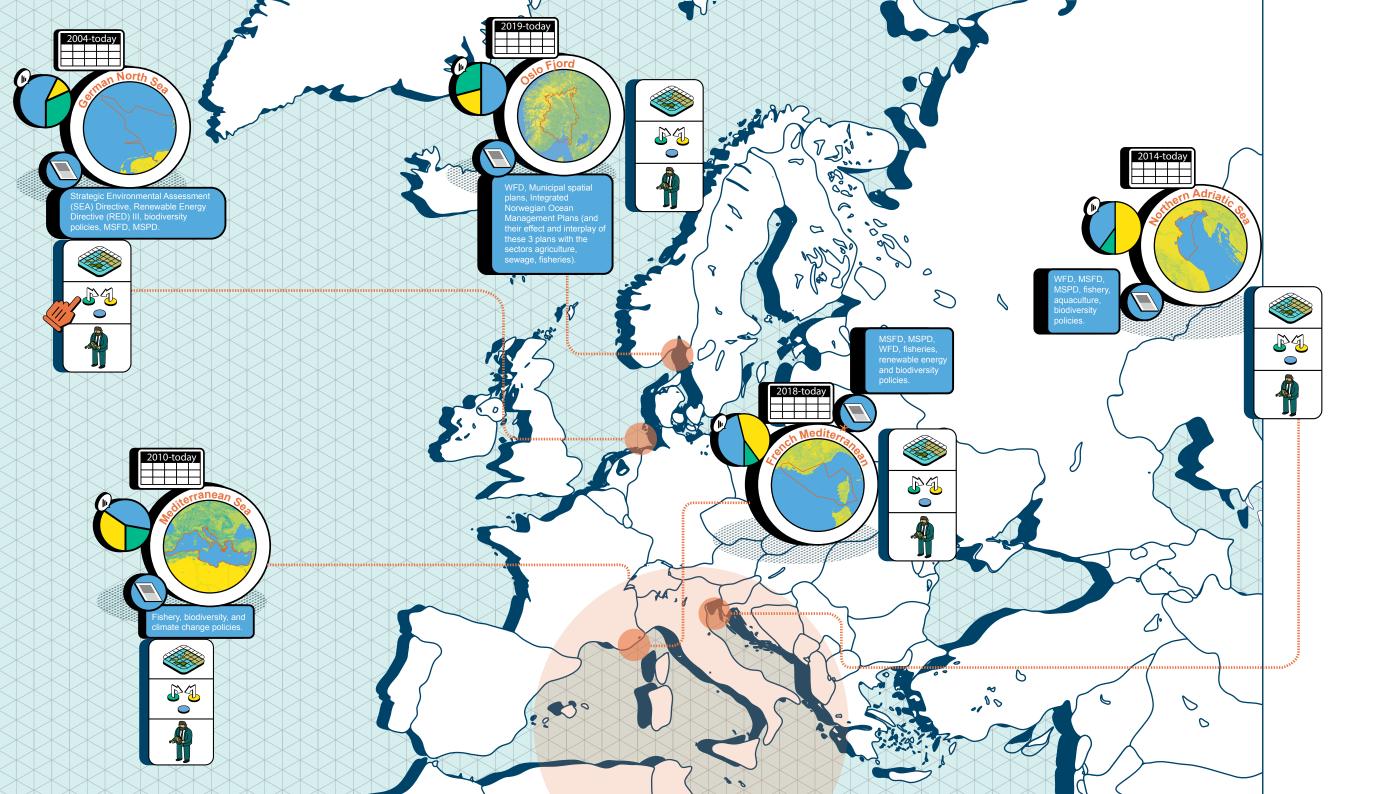


Sources Legend

Desk review – Science Stakeholder – Society Government – Policy

Click on the drawers to access the answers. Roll over the (x) to close them.

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